



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Midgley et. al.

Application No: 09/465,436

Filed: December 16, 1999

For: Systems and Methods For Backing Up
Data Files

Examiner: Not yet assigned

Art Unit: 2771

Attorney Document No. NTK-005.01

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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Change of Address and Change of Docket Number is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Customer Corrections Branch, Commissioner for Patents, Washington, D.C. 20231, on June 21, 2001.

Robert P. King

SUBMISSION OF SUBSTITUTE DECLARATION (OATH)

Customer Corrections Branch

Commissioner for Patents

Washington, DC 20231

Sir:

Please note the enclosed Declaration is being filed as a Substitute to the Declaration and Power of Attorney filed September 3, 2000 for the above-identified patent application.

Although it is not believed that any fees are to be associated with this submission, any fees related to this submission can be charged to **Deposit Account Number 06-1448**.

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 832-1241

Respectfully Submitted,

Kevin A. Oliver

Reg. No. 42, 049

Tel. (617) 832-1000

Fax. (617) 832-7000

Date: June 21, 2001

Customer No: 25181

Patent Group

Foley, Hoag & Eliot llp

One Post Office Square

Boston, MA 02109-2170

DECLARATION FOR PATENT APPLICATION

Docket No: NTK-501.01

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Systems and Methods for Backing up Data Files

the specification of which (check one)

☐ is attached hereto.

☒ was filed on 12-16-99, as United States Application Number or PCT International Application Number 09/465,436, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States Provisional application(s) listed below.

(Application Number) (Filing Date)

(Application Number) (Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

I hereby appoint Beth E. Arnold, Reg. No. 35,430; Paula A. Campbell, Reg. No. 32,503; Charles H. Cella, Reg. No. 38,099; Dana M. Gordon, Reg. No. P-44,719; Edward J. Kelly, Reg. No. 38,936; Donald W. Muirhead, Reg. No. 33,978; Chinh H. Pham, Reg. No. 39,329; Karoline K.M. Shair, Reg. No. 44,332; Anne E. Saturnelli, Reg. No. 41,290; Diana M. Steel, Reg. No. 43,153; Wolfgang E. Stutius, Reg. No. 40,256; Kingsley L. Taft, Reg. No. P-43,946; Anita Varma, Reg. No. 43,221; and Matthew P. Vincent, Reg. No. 36,709 as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Edward J. Kelly at telephone number (617) 832-1298

Address all correspondence to: Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, Ma. 02109-2170

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Christopher Midgley

Inventor's signature [Signature] Date 9-1-00

Residence 33 Donna Road, Framingham, MA 01701 Citizenship US

Post Office Address _____

☒ Additional inventors are being named on separately numbered sheets attached hereto.

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PATENT TRADEMARKS

Full name of sole or additional inventor (given name, family name) John Webb
Inventor's signature [Signature] Date 9/1/00
Residence 198 Boston Road, Sutton MA 01590 Citizenship US
Post Office Address _____

Full name of sole or additional inventor (given name, family name) Manuel Gonsalves
Inventor's signature [Signature] Date 9/1/00
Residence One Genesee Circle, Milford, MA 01757 Citizenship US
Post Office Address _____

Full name of sole or additional inventor (given name, family name) Dan Chartier
Inventor's signature [Signature] Date 9/1/00
Residence _____ Citizenship US
Post Office Address _____

Full name of sole or additional inventor (given name, family name) Tom Hansen
Inventor's signature [Signature] Date 9/1/2000
Residence _____ Citizenship US
Post Office Address _____